# New Client Application

Tell us about your circumstances and what you would like your investments to achieve.

## Before you begin

This application is for individual or joint accounts. For a company, trust or another entity, please request the appropriate application materials from Sunvic.

Complete the sections relevant to you. Supporting documents will be arranged separately through an agreed secure channel. Complete both holder profiles for a joint account. Save your completed PDF and follow the agreed submission instructions.

## 01 Your Account

Tell us who will own the account. The final account documents will confirm the legal ownership terms.

**Account type ***

- ○ Individual
- ○ Joint
- ○ Company / trust / other (request separate documents)

**If joint, proposed holding arrangement**

- ○ Joint tenants with right of survivorship
- ○ Tenants in common
- ○ To be discussed

# First account holder - personal details

## 02 Your Personal Details

Please use your name as it appears on your identity document.

Family name *

Given name(s) *

Date of birth * (YYYY-MM-DD)

Country of citizenship *

Country of residence *

Email address *

Mobile phone with country code *

Home phone with country code

Residential address *

City / province / postal code *

Country of address *

Mailing address, if different

# First account holder - work and finances

## 04 Work and Income

Tell us about your occupation and current income. Select each income source that applies.

**Employment status**

- ○ Employed
- ○ Self-employed / business owner
- ○ Retired
- ○ Not currently employed
- ○ Other

Employer or business name

Occupation / position

Industry and nature of business

Years in current role or business

Approximate annual income

Select...

Income currency, if not USD

**Current sources of income**

- ☐ Salary / bonus
- ☐ Business or professional income
- ☐ Pension
- ☐ Rental income
- ☐ Interest / dividends
- ☐ Investment or trading income
- ☐ Other

If other, or if income varies materially, please explain

# First account holder - wealth and funding

## 05 Your Wealth and Proposed Funding

Please distinguish how you accumulated your wealth for the particular money or assets intended for this account.

**How was your overall wealth accumulated?**

- [ ] Employment and savings
- [ ] Business ownership / profits
- [ ] Sale of a business
- [ ] Inheritance
- [ ] Gift
- [ ] Property sale or rental activity
- [ ] Investment gains
- [ ] Other

**What will fund this account initially?**

- [ ] Savings from earnings
- [ ] Transfer from an investment account in my name
- [ ] Business or asset sale proceeds
- [ ] Inheritance or gift
- [ ] Investment proceeds
- [ ] Other

Estimated initial deposit or transfer amount

Country from which funds will be sent

**Will any opening funds come from someone other than an account holder?**

- ○ No
- ○ Yes - please explain

If applicable, name the person or entity and explain the relationship and reason

# First account holder - financial position

## 06 Your Financial Position

Use approximate figures where necessary. These details help us understand your resources and commitments.

Approximate total net worth

Select...

Approximate liquid investable assets

Select...

**Do you have significant financial obligations that affect the amount you can invest?**

- ○ No
- ○ Yes - describe below

Mortgage, borrowing, dependants, planned purchase or other relevant commitments

# First account holder - investment profile

## 07 Your Investment Profile

Describe your investment objectives, expected time horizon and previous experience.

**Primary objectives (check all that apply)**

- ☐ Preserve capital
- ☐ Generate current income
- ☐ Long-term growth
- ☐ Diversify existing holdings
- ☐ Prepare for retirement
- ☐ Other

Expected investment horizon

Select...

Approximate years of investment experience

**Products in which you have invested**

- ☐ Listed shares
- ☐ Government or corporate bonds
- ☐ Investment funds / ETFs
- ☐ Private investments
- ☐ Futures or options
- ☐ Foreign exchange
- ☐ None of these

Particular restrictions, preferences or liquidity needs

# First account holder - tax and other information

## 08 Tax Residence and Other Information

Additional self-certification may be requested. Your answers here are an initial record of the relevant information.

Countries where you are tax resident *

**Are you a US citizen, US resident or otherwise a US person for tax purposes? ***

- ○ Yes
- ○ No
- ○ Unsure

**Are you, a close family member or close associate a politically exposed person? ***

- ○ Yes
- ○ No
- ○ Unsure

**Are you employed by a securities firm, exchange or listed company, or a control person of a listed company? ***

- ○ Yes
- ○ No
- ○ Unsure

If yes, company name and your role

**Will anyone other than the named account holder(s) give trading instructions? ***

- ○ Yes
- ○ No
- ○ To be discussed

If any answer requires explanation, provide brief details

# Additional account holder - personal details

For Joint accounts only. Leave the additional holder pages blank for Individual accounts.

## 03 Additional Account Holder

For a joint account, complete this holder's details and their separate sections 04-08.

Family name *

Given name(s) *

Date of birth * (YYYY-MM-DD)

Country of citizenship *

Country of residence *

Email address *

Mobile phone with country code *

Home phone with country code

Residential address *

City / province / postal code *

Country of address *

Mailing address, if different

# Additional account holder - work and finances

Complete for the additional holder only if Joint is selected.

## 04 Work and Income

Tell us about your occupation and current income. Select each income source that applies.

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- ○ Not currently employed
- ○ Other

Employer or business name

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Select...

Income currency, if not USD

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- ☐ Gift
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- ○ No
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If yes, company name and your role

**Will anyone other than the named account holder(s) give trading instructions? ***

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- ○ No
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If any answer requires explanation, provide brief details

# Review and Declaration

## 09 Review and Declaration

Read the declaration and check your entries before signing.

## Applicant Declaration and Authority to Give Instructions

I/We request an account with Sunvic Limited in the name(s) given in this application and confirm that each applicant is of legal age. The information supplied is accurate and complete to the best of my/our knowledge. I/We will inform Sunvic Limited of material changes.

Once the application is accepted, and subject to the applicable account terms, Sunvic Limited may receive and act on transaction instructions from an account holder or another person separately authorised in writing. Instructions may be given by telephone, email or another channel accepted by Sunvic Limited, without separate written confirmation for every instruction. Sunvic Limited may seek verification and delay or decline instructions where identity or authority is uncertain. I/We understand the risks of impersonation and misdirected communications and will promptly report any suspected unauthorised instruction.

I/We understand that opening and maintaining an account, transactions and asset transfers may attract charges as described in the applicable fee schedule. Investments can lose value, including the principal invested. Tax, legal and accounting advice should be obtained from appropriately qualified professionals.

**Documents to provide through the approved secure channel**

- ☐ Current government-issued identification for each holder
- ☐ Proof of residential address
- ☐ Evidence of source of funds or wealth, if requested
- ☐ Entity or trust documents, if applicable

Questions or additional information

# Applicant signatures

Each applicant must sign and provide a date. The additional holder must sign for a joint account. Use your PDF viewer's Draw or Sign tool above the line, or print and sign by hand.

Full name of first applicant *

Date * (YYYY-MM-DD)

Primary applicant signature / date

Full name of additional applicant (if joint)

Date for additional holder (if joint)

Joint applicant signature / date (if joint)

**Confirmation ***

☐ I/We have read the declaration above and confirm the information in this application.

Supporting documents are handled separately through the approved secure channel.

## Contact

Sunvic Limited | Unit 1105, Hua Qin International Building, 340 Queen's Road Central, Hong Kong | +852 2904 8733.